

Logic and applications

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Substitution

Given α what is α_x^t ?

Replace free occurrences of x by t in α .

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 - ▶ $\forall y \alpha$ if $y = x$,
 - ▶ $\forall y [\alpha]_x^t$ if $y \neq x$

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Given α what is α_x^t ?

Replace free occurrences of x by t in α .

- $\forall x \neg(\forall y(x = y)) \implies [\neg(\forall y(x = y))]_x^y$
becomes
- $\forall x \neg(\forall y(x = y)) \implies \neg(\forall y(y = y))$

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- x is substitutable by t in $(\alpha \implies \beta)$ iff
 x is substitutable by t in α , and
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- x is substitutable by t in $\forall y\alpha$ iff
 - ▶ Either x is not free in α
 - ▶ Or y does not occur in α and t is substitutable for x in α .

??

Proof system for FOL : A syntactic construct

- Axiom Groups and Axioms

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(AxG1) propositional tautologies where, propositional variables can be substituted by wffs of FOL.

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Axioms = $I(\{A_{xg1}, A_{xg2}, A_{xg3}, A_{xg4}\}, \{\forall x, \dots\})$.

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- Deduction Rules : Modus Ponens

Assumption : $\alpha, \alpha \implies \beta$

Conclusion: β

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$$Axioms = I(\{Axiom1, Axiom2, Axiom3, Axiom4\}, \{\forall x, \dots\}).$$

- Deduction Rules : Modus Ponens

Assumption : $\alpha, \alpha \implies \beta$

Conclusion: β

- α has a proof iff $\alpha \in I(\{Axioms\}, \{MP\})$.

Example proof: $(\vdash P(x) \implies \exists x P(x))$

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(1) $\vdash (\forall x \neg P(x) \implies \neg P(x))$

A_{xg2} where $t = x$.

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Axg2 where $t = x$.

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Axg2 where $t = x$.

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Axg1

$$(3) \vdash (P(x) \implies \neg(\forall x \neg P(x)))$$

MP, (1),(2)

Soundness Theorem: $(\Gamma \vdash \alpha \implies \Gamma \vDash \alpha)$

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(b1) : $\alpha \in \Gamma$
Easy case.

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Easy case.

(b2) : $\alpha \in \text{Axioms}$

Requires induction on structure of Axioms itself.

(b2-bc1) α is in A_{xg1}

(b2-bc2) α is in A_{xg2}

(b2-bc3) α is in A_{xg3}

(b2-bc4) α is in A_{xg4}

(b2-ind) $\alpha = \forall x\beta$, where β is an axiom.

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(b2-ind) $\alpha = \forall x\beta$, where β is an axiom.

(ind) : α result of application of MP.

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★ but we have $M \models_s \forall x \alpha$ which is a contradiction.

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★ but we have $M \models_s \forall x \alpha$ which is a contradiction.

$M \models_s \forall x \alpha$ iff for every $d \in \mathcal{U}^M$, we have $M \models_{s(x|d)} \alpha$.

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$M \vDash_s \forall x \alpha$ iff for every $d \in \mathcal{U}^M$, we have $M \vDash_{s(x|d)} \alpha$.

What is this *new* function?

Soundness Theorem: $(\Gamma \vdash \alpha \implies \Gamma \vDash \alpha)$

Proof by induction on structure of α .

$\alpha \in I(\text{Axioms} \cup \Gamma, \{MP\})$.

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- ★ Suppose not.
Then there exists a structure M and an assignment s such that $M \vDash_s \forall x \alpha$ and $(M \not\vDash_s \alpha_x^t)$.
- ★ $\bar{s}(t) = b$ where $b \in \mathcal{U}^M$.
- ★ $(M \not\vDash_s \forall x \alpha)$ because we have $M \not\vDash_{s(x|b)} \alpha$.
- ★ but we have $M \vDash_s \forall x \alpha$ which is a contradiction.

$M \vDash_s \forall x \alpha$ iff for every $d \in \mathcal{U}^M$, we have $M \vDash_{s(x|d)} \alpha$.

What is this *new* function?

$s(x|d)(y) = s(y)$ if $y \neq x$,

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Proof by induction on structure of α .

$\alpha \in I(\text{Axioms} \cup \Gamma, \{MP\})$.

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(TP) $\Gamma \vDash (\forall x(\alpha \implies \beta)) \implies (\forall x\alpha \implies \forall x\beta)$

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(TP) $\Gamma \models (\forall x(\alpha \implies \beta)) \implies (\forall x\alpha \implies \forall x\beta)$

★ Suppose not.

Then there exists a structure M and an assignment s such that $M \models_s \Gamma$ and $M \models_s (\forall x(\alpha \implies \beta))$ and $(M \not\models_s (\forall x\alpha \implies \forall x\beta))$.

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★ So, $M \vDash_s \forall x\alpha$ and $M \not\vDash_s \forall x\beta$.

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★ So, $M \vDash_s \forall x\alpha$ and $M \not\vDash_s \forall x\beta$.

★ so we get an element b , for which β is false. i.e., $M \not\vDash_{s(x|b)} \beta$.

Soundness Theorem: $(\Gamma \vdash \alpha \implies \Gamma \models \alpha)$

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★ so we get an element b , for which β is false. i.e., $M \not\models_{s(x|b)} \beta$.

★ Since $M \models_s \forall x\alpha$, for element b , $M \models_{s(x|b)} \alpha$.

Soundness Theorem: $(\Gamma \vdash \alpha \implies \Gamma \vDash \alpha)$

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★ Since $M \vDash_s \forall x\alpha$, for element b , $M \vDash_{s(x|b)} \alpha$.

★ therefore, $\alpha \implies \beta$ is not true in M under s i.e., $(M \not\vDash_s \forall x(\alpha \implies \beta))$.

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★ Since $M \vDash_s \forall x\alpha$, for element b , $M \vDash_{s(x|b)} \alpha$.

★ therefore, $\alpha \implies \beta$ is not true in M under s i.e., $(M \not\vDash_s \forall x(\alpha \implies \beta))$.

★ which is a contradiction to $M \vDash_s (\forall x(\alpha \implies \beta))$

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$\alpha \in I(\text{Axioms} \cup \Gamma, \{MP\})$.

Soundness Theorem: $(\Gamma \vdash \alpha \implies \Gamma \vDash \alpha)$

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Then there exists a structure M and an assignment s such that $M \models_s \Gamma$ and $M \models_s \alpha$ and $M \not\models_s (\forall x \alpha)$.

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★ we get an element b in the universe, for which α is false. That is $M \not\models_{s(x|b)} \alpha$.

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★ we get an element b in the universe, for which α is false. That is $M \not\models_{s(x|b)} \alpha$.

★ but x is not free in α , therefore, $s(x|b)$ is same as s for any $y \neq x$. Hence, $M \not\models_s \alpha$, which is a contradiction.

Soundness Theorem: $(\Gamma \vdash \alpha \implies \Gamma \vDash \alpha)$

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(b1) : $\alpha \in \Gamma$

(b2) : $\alpha \in \text{Axioms}$

(ind) : α result of MP
routine (like PL).

Application of soundness

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$M \models_s \exists x\alpha$ iff for some $d \in \mathcal{U}^M$, we have $M \models_{s(x|d)} \alpha$.

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$M \models_s \exists x\alpha$ iff for some $d \in \mathcal{U}^M$, we have $M \models_{s(x|d)} \alpha$.

Where,

$s(x|d)(y) = s(y)$ if $y \neq x$,

$s(x|d)(y) = d$ if $y = x$.

Deduction Theorem for FOL

Theorem

For all Γ - a set of wffs, α and β ,

$$\Gamma \cup \{\alpha\} \vdash \beta \text{ iff } \Gamma \vdash (\alpha \implies \beta)$$

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For all Γ - a set of wffs, α and β ,

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Proof.

Exercise.

Hint: Same on the lines of proof of deduction theorem for PL. □

Generalization Theorem for FOL

Theorem

*If Γ - a set of wffs, α is a wff, such that $x \notin FV(\Gamma)$,
Then $\Gamma \vdash \forall x\alpha$.*

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Rephrase the above statement as follows:

If Γ - a set of wffs, α is a wff, such that $x \notin FV(\Gamma)$. Then following holds:

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If $\alpha \in I(\Gamma \cup Axioms, \{MP\})$

Then $\forall x\alpha \in I(\Gamma \cup Axioms, \{MP\})$.

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So proof by structural induction on α .



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- (Base case 1) : $\alpha \in Axiom$

Then $\forall x \alpha \in Axioms$, by structure of Axioms.

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We know that $x \notin FV(\Gamma)$,

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We know that $x \notin FV(\Gamma)$, therefore, $x \notin FV(\alpha)$

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Hence [Axiom 4](#), $\Gamma \vdash \alpha \implies \forall x \alpha$

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Hence [Axiom 4](#), $\Gamma \vdash \alpha \implies \forall x \alpha$

Since $\Gamma \vdash \alpha$ (by IH).

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Hence **Axg4**, $\Gamma \vdash \alpha \implies \forall x \alpha$

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we get $\Gamma \vdash \forall x \alpha$ (MP).



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Proof.

- (induction case): α is result of application of MP.
That is we have $\Gamma \vdash \beta, \Gamma \vdash \beta \implies \alpha$, then $\Gamma \vdash \alpha$.

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- (TP) : $\Gamma \vdash \forall x \alpha$.
- $\Gamma \vdash \forall x(\beta \implies \alpha) \implies (\forall x \beta \implies \forall x \alpha)$ [Axiom 3](#).

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- $\Gamma \vdash (\forall x \beta \implies \forall x \alpha)$ (MP).

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- $\Gamma \vdash (\forall x \beta \implies \forall x \alpha)$ (MP).
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Application of generalization theorem

Example : TP: $\forall x \forall y R(x, y) \implies \forall y \forall x R(x, y)$

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④ $\forall x \forall y R(x, y) \vdash \forall y R(x, y) \implies R(x, y)$ (A_{xg2}).

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Example : TP: $\forall x\forall yR(x, y) \implies \forall y\forall xR(x, y)$

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③ $\forall x\forall yR(x, y) \vdash \forall yR(x, y)$ (MP).

④ $\forall x\forall yR(x, y) \vdash \forall yR(x, y) \implies R(x, y)$ (Ayg2).

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Example : TP: $\forall x \forall y R(x, y) \implies \forall y \forall x R(x, y)$

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⑥ $\forall x \forall y R(x, y) \vdash \forall x R(x, y)$ (GenThm as $x \notin FV(\Gamma)$).

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⑦ $\forall x\forall yR(x, y) \vdash \forall y\forall xR(x, y)$ (GenThm as $y \notin FV(\Gamma)$).